



would like to invite you to a 2-day

Fraud Symposium

on

December 1 & 2, 2004

at

The Regina Inn

1975 Broad Street - Regina, SK



DAY 1:

7:45 – **Registration and Continental Breakfast**
Please be on time for registration.

8:30 – **Education about Fraud**
The conference starts by discussing fraud education available to Saskatchewan students at Universities Technical schools, the Internet, and professional associations. Is the message getting across?

9:30 – **What is the profile of a Fraudster?**
Do you know who the typically commits fraud? You might be surprised as we look at the CFE 2004 Report to the Nation, Sarbanes Oxley & new Canadian laws.

Coffee break – provided at the hotel

10:45 – **Protect Yourself from Internal Fraud?**
How about some practical examples of things you can do to help reduce the risk of fraud in your organization.

12:00 – **Lunch** (provided at the hotel)

1:00 – **Code of Conduct**
This is far from a dry topic, as could be one of the most important things you do to: a) prevent fraud, and b) reduce costs.

Coffee break – provided at the hotel

2:30 – **Merchants Against Money Laundering**
Hear about a SK RCMP initiative to raise awareness about fraud & money laundering.

DAY 2:

7:45 – **Registration and Continental Breakfast**

8:30 – **Is Crime Putting you at Risk and Don't Know it?** - Are you a banker? A home owner? A renter? Did you know that your last purchase/sale/mortgage could be at risk simply because you didn't know that a crime or fraud was involved? What are the issues and what can be done?

Coffee break – provided at the hotel

10:30 – **Write it to the Judge!**
Did you know that handwriting can convey a different message from the actual words put on paper? To the trained eye, handwriting can tell its own story – are you making use of this silent witness?

12:00 – **Lunch** (provided at the hotel)

1:00 – **Financial Statement Fraud?** – You know we couldn't leave it alone: WorldCom, Enron: this session includes the highlights of a presentation especially developed for the Manitoba CICA.

Coffee break – provided at the hotel

2:30 – **New Fraud Schemes**
A senior officer with the RCMP Commercial Crime unit plans to discuss new fraud schemes and what to look for.

3:30 – **Wrap-Up and End Seminar**

SESSION OUTLINES

Day One:

SESSION 1: Are we doing enough to Promote Fraud Prevention in Schools?

We asked University of Regina, SIAST, and CFE representatives to discuss Fraud education in our schools and associations. The Saskatchewan ACFE Chapter will also take this opportunity to unveil plans for the “Sid Bloxom” award that will be made available to high school students in Saskatchewan who submit articles to us on Fraud related topic(s).

SESSION 2: What is the Profile of a Fraudster?

Stephen Braaten, CMA CFI, CFI will summarize the CFE’s 2004 Report to the Nation that was recently commissioned to give you insights into the Profile of a Fraudster. As an added bonus, he will also summarize the best tools that the report identified to reduce occupational Fraud, and will close by showing how this information can be used to meet the “new” Fraud requirements of Sarbane Oxley, SAS 99, and other new initiatives.

SESSION 3: Protect yourself from Internal Fraud

In his first of two sessions, Doug Kalesnikoff, CA, CFE (and one of the few CAs in Saskatchewan to have earned the Investigative and Forensic Accounting specialty) will sort through years of experience to identify those controls, procedures, and initiatives that you can use to help protect your organization from Internal Fraud. This is a must session for CFEs, internal auditors, and others with an interest in fraud prevention.

SESSION 4: Code of Conduct -

In his second session, Doug points out that a code of conduct can be used as: a) a fraud prevention measure; that b) has the added benefit of being pro-active action that can be used to defend the organization against the claims of “I didn’t know” or “you didn’t tell me” or other scenarios that tend to happen when the fraudster is faced with his actions.

SESSION 5: Merchants Against Money Laundering -

Sergeant Doug Pott takes time to describe how his Merchants against Money Laundering Program, and could be helping to reduce fraud, and other crimes in the community. Time permitting, he can also answer your questions on new FINTRAC reporting requirements.

Day Two:

SESSION 6: Crime, Banking & Home Owners

Ray Houghton, CA, CFE, CFI, CPIM is a Forensic Accountant employed with the Federal Department of Public Works. In his first session Ray plans to start by giving us insights into the effects of marijuana grow houses, and other criminal activities on: the financial community, home owners, and others. This session includes pictures and examples of interest to: banks, credit unions, insurance companies, real estate agents, hydro employees, and others who may not realize the risks that criminal activity could be exposing them to.

SESSION 7: Interpreting Hand Writing

Ken Rodenbush is a long time member the AAHA (American Association of Handwriting Analysts) and has spoken to national, international and television audiences about handwriting analysis. He plans to demonstrate to us how handwriting can be used to gain insights into a person's state of mind including possible revelations of guilt.

SESSION 8: Financial Statement Fraud

Although some people forget, if financial statements are intentionally misrepresented for a benefit, then there is fraud. After lunch, Ray plans to expand on this comment by reviewing a number of famous financial statement frauds, and give insights into how they happen. [This session has been modified for our use from material recently presented as part of the Manitoba CICA Professional Development training].

SESSION 9: New Fraud Schemes

A Senior member of the RCMP Saskatchewan Commercial Crime Unit plans to complete our session by updating you on current fraud schemes that they are facing. Bring your questions as it isn't often that you get a chance for one-on-one discussions with senior police staff.

Registration Form – 2004 CFE Saskatchewan Fraud Symposium

Name _____

Title _____

Organization _____

Organization Address _____

City _____ Province _____ Postal Code _____

Phone Number _____ Fax Number _____

Email Address _____

Please circle:

CFE Member? Yes No Associate member? Yes No

Do you have any dietary or other needs? Please provide details to help us accommodate them.

FEE: **Members (CFE):** \$275 **Non-members:** \$325

Bonus Offer - Registration before Nov 27 entitles you to:

- a **free CFE shirt!!!** Just indicate size preference: Mens: S M L XL Womens Style: M L XL
- a **free membership** in the local ACFE. Call the number below for details.
- if 3 people from your organization are coming to this session – **send a 4th for free** as the extra person will not be charged for attendance!!

Please send the completed registration forms and payments to:

Leanne Ashdown, CFE
7108 1st Avenue North
Regina, Saskatchewan, S4X 3K9

Phone: (306) 569-6912
Fax: (306) 569-4819

Make cheque or money order payable to the:
Association of Certified Fraud Examiners
Saskatchewan Chapter

Leanne.ashdown@swp.com

Please submit one registration form for each registrant. Feel free to duplicate this form as needed.

Registrations will be accepted up to October 27, 2004.

Cancellations received after that date are not refundable. However, registration takes place at the start of the sessions at no extra charge.